

**TRI-STATE OVERSIGHT COMMITTEE MONTHLY MEETING**  
at the Washington Metropolitan Area Transit Authority  
Jackson Graham Building, 600 5th Street NW, Washington, DC 20001  
Wednesday January 18, 2012  
**Minutes**

Name	Agency
Matt Bassett	TOC/VDRPT
James Benton	TOC/MDOT
John Chism	TOC/DDOT
George Good (by teleconference)	TOC/MDOT
Emile Smith	TOC/DDOT
Robert Kogan	TRA/TOC
Ken Korach	TRA/TOC
Clay Bunting	TRST
Darvin Kelly	TRST
Laynie Weaver	MTPD-OEM
Rob Troup	TIES
Hercules Ballard	RTRA
Richard Sarles	GM
James Dougherty	SAFE
Lou Brown	SAFE
Alex Seredich	TRST
Darren McCoy	SAFE
Robert Maniuszko	SAFE

**Internal Meeting TOC & TRA Only**

The group discussed the minutes from the previous meeting. One of the action items relates to the Rail Fleet Management Plan. **Action Item: TOC will follow up with WMATA to ensure receipt of this plan (pending).**

M. Bassett summarized the 1/13 meeting between WMATA and the governors and MD and VA, and the mayor of DC. WMATA and the executives discussed various policy level issues, as well as WMATA's investigative efforts into the friction ring issue. At this time the three jurisdictions do not believe a WMATA Compact amendment will take place during the current legislative year. M. Bassett also discussed changes to the composition of WMATA board members from Virginia. There is now a Commonwealth of Virginia board member. Virginia has an ongoing issue with the Project Labor Agreement for Phase 2 of the DCMP, among other funding questions.

The next TOC executive meeting has not yet been set. This meeting will involve policy level staff. **Action Item: M. Bassett will work with the jurisdictions to schedule the next TOC executive meeting.** The meeting will likely take place in the Spring 2012.

The group discussed recent accidents and incidents. J. Benton described his review of the inspection of the friction rings on the 2000, 3000, and 4000 series cars, and a separate type of ring involved in a similar incident on the 5000-series cars. According to manufacturers' instructions, it is acceptable to have cracks up to ¼ inch in length. Anything ¼ inch and greater must be replaced immediately. The group also discussed replacement parts from Knorr. There was a bulletin for the 5000-Series cracks in 2006; there was a similar bulletin for cracks in the 2000 and 3000 cars in 2009. The companies that manufacture the friction rings have alleged that the problem does not lie in their discs, but rather WMATA's track, which WMATA disputes.

The group discussed the Jan. 17<sup>th</sup> CAPTURE meeting. TOC was unable to obtain much new information from WMATA. TOC will elevate the issue of CAP implementation progress to WMATA executives. There were also no CAPs presented yet in response to the findings of fact from the Fatigue Management Study or the HM audit.

R. Kogan gave a summary of the 2/17 DCMP Safety and Security Working Group meeting. The group also discussed a document that TRA is working on for TOC regarding a TOC plan for overseeing safety and security on the DCMP.

There is a meeting between MWAA, WMATA, and FTA coming up Friday Jan. 27<sup>th</sup>. TOC is also invited to participate. The topic for discussion is DCMP safety and security certification, as well as other safety and security program activities.

J. Benton summarized the recent 7000-Series stakeholders meeting. The procurement appears to be significantly behind schedule. Kawasaki's consultant was unable to perform required SSC work given available resources; Kawasaki is, as such, in the process of obtaining another consultant to perform required SSC work.

FTA has officially closed the last open audit finding, in accordance with the completion of the TOC audit of the WMATA hazard management program. **Action Item: TOC will send a formal letter to NTSB with evidence of FTA closure of all audit findings, to request closure of NTSB's recommendation to the TOC.**

The group discussed TOC utilization of DDOT office space, ongoing funding issues with MWCOG, and upcoming meetings and other activities.

Next TOC Internal Meeting: Wednesday February 22, 2012, 12:30 pm

#### **External Meeting TOC, TRA, WMATA, FTA, NTSB, TSA**

H. Ballard, B. Manisuzko, and C. Bunting discussed recent incidents, each of which remains under investigation. The WMATA staff talked of making revisions to training programs to emphasize compliance with certain cardinal rules.

Rob Troup discussed the status of the inspection of the affected railcars in response to recent incidents involving failing friction rings. The group also discussed various possible contributing factors external to the friction rings themselves.

M. Bassett described the CAPTURE meeting that took place Jan 17<sup>th</sup>. There was only one CAP closed during the meeting; additionally, there was just one closed in December. WMATA executives present at the meeting agreed that WMATA would work on improving CAP response. TOC expects to see WMATA responses to the items contained in the Joint Fatigue Management Study in time for the Feb 21, 2012 monthly meeting, and the CAPs addressing the findings in the TOC audit of the HM program are due to TOC by Feb. 15.

The group discussed upcoming ISSAs. These audits are organized by department. Upcoming audits will include Engineering and Station Planning, Rail Customer Service, Customer Service, and Rail Scheduling and Planning. Per J. Dougherty, the OIG is

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auditing the Environmental group and this will constitute the ISA. **Action Item: WMATA will transmit notification of the details of upcoming internal audits to TOC.**

M. Bassett reminded WMATA of the deadline for the annual internal safety and security audit reports, plus the GM certificate of compliance. TOC must in turn submit these to FTA as part of the annual report to FTA.

The group discussed vulnerability and hazard analyses for the Dulles projects. MTPD is revising their scope of work for a contractor to conduct a TVA; R. Troup is working putting together a hazard analysis. R. Troup is also working on overseeing the Battelle study of the ATC system on the Dulles project, the results of which have been pushed back to April. M. Bassett requested that WMATA notify TOC of TVA and OHA activities to give TOC the opportunity to participate.

M. Bassett asked about the Rail Fleet Management Plan, and requested that it be provided to TOC in current form. Per A. Bigelow, WMATA is meeting with the FTA PMOC to discuss revisions to the RFMP. TOC expects to receive a copy shortly thereafter. **Action Item: WMATA will provide the RFMP to TOC as soon as possible.**

The group discussed upcoming meetings and activities. WMATA discussed safety and emergency management activities for an upcoming shutdown of the south escalator at Dupont Circle.

B. Maniuszko discussed changes to the RWP training and certification program. The number of levels will decrease. Levels III and IV will be combined; and Level I and Level II will be combined. These changes are based on feedback since the program was first implemented approximately one year ago. It is expected that these changes will begin to take effect in Spring 2012.

J. Dougherty introduced Lou Brown, the new Assistant Chief Safety Officer. This is also the last TOC meeting of L. Weaver (MTPD-OEM), who will be leaving WMATA

Next TOC External Meeting: Wednesday February 22, 2012, 2:00 pm